

01263.000412.1



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: A. Armstrong
ELI TZIRKEL-HANCOCK ET AL.	)	
	:	Group Art Unit: 2654
Application No.: 09/359,912	)	
	:	Allowed: January 3, 2005
Filed: July 26, 1999	)	
	:	Confirmation No.: 3440
For: APPARATUS, METHOD AND COMPUTER	)	
READABLE MEMORY MEDIUM FOR	:	
SPEECH RECOGNITION USING	)	
DYNAMIC PROGRAMMING (As Amended)	:	April 1, 2005

**Mail Stop Issue Fee**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SECOND REQUEST FOR CONSIDERATION OF  
PREVIOUSLY-FILED INFORMATION DISCLOSURE STATEMENTS

Sir:

Applicants received a Notice of Allowance dated January 3, 2005, in the above-identified application. The Issue Fee being paid concurrently herewith, there is a matter that needs to be resolved before the subject application issues as a patent.

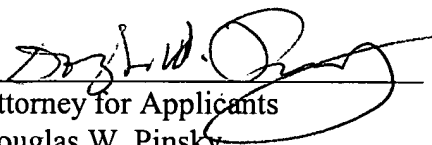
Applicants have not yet received acknowledgment that the Information Disclosure Statements (IDS's) filed on May 22, 2002 and November 17, 2004 have been considered. It is hereby requested that the Examiner indicate that she considered the information cited in those IDS's by returning initialed copies of the Forms PTO-1449 submitted therewith. For the

Examiner's convenience, copies of the IDS's, including the Forms PTO-1449, the references (other than U.S. Patent documents) cited therein, and the stamped receipt postcards indicating receipt of the same by the U. S. Patent and Trademark Office, are enclosed.

A Request for Consideration of Previously-filed Information Disclosure Statements was filed on February 4, 2005. However, to date Applicants have received no response to that Request. Accordingly, the instant Second Request is being filed.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants  
Douglas W. Pinsky  
Registration No. 46,994

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York, 10112-3801  
Facsimile: (212) 218-2200

DWP/tmc

DC\_MAIN 198484v1



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date 11 / 17 / 2004  
Mo. Day Yr.  
Atty. Docket 01263.000412.1  
Application No. 09/359912

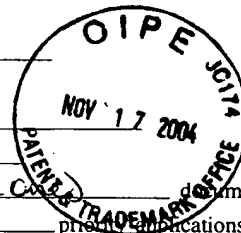
Sir:  
Kindly acknowledge receipt of the accompanying:

- ☐ Response to Official Action. \_\_\_\_\_
- ☐ Check for \$ \_\_\_\_\_ (claims fee)
- ☐ Petition under 37 CFR 1.136 and Check for \$ \_\_\_\_\_
- ☐ Notice of Appeal and Check for \$ \_\_\_\_\_
- ☒ Information Disclosure Statement, PTO-1449 and 1 CC documents
- ☐ Claim for priority and certified copies of \_\_\_\_\_ prior applications
- ☐ Issue fee transmittal and Check for \$ \_\_\_\_\_

☒ Other (specify) Office Action from the European Patent Office  
by placing your receiving date stamp hereon and returning to deliverer.

Atty. Dup/Dmc Due Date 11 / 17 / 2004 By Hand  
Mo. Day Yr.

FOHS-D-00



01263.000412.1



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: A. Armstrong
ELI TZIRKEL-HANCOCK ET AL.	)	
	:	Group Art Unit: 2654
Application No.: 09/359,912	)	
	:	
Filed: July 26, 1999	)	
	:	
For: APPARATUS, METHOD AND COMPUTER	)	
READABLE MEMORY MEDIUM FOR	:	
SPEECH RECOGNITION USING	)	
DYNAMIC PROGRAMMING	:	November 17, 2004

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

FOURTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. A copy of the listed foreign document is enclosed.

The listed document was cited in an Office Action dated August 6, 2004, from the European Patent Office in a corresponding foreign application. A copy of the Office Action is also enclosed.

FORMAL MATTERS

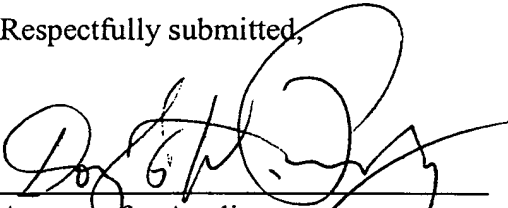
A non-final Office Action was mailed on April 1, 2004. In accordance with 37 C.F.R. § 1.97(c), Applicants enclose a check for \$180.00 to cover the fee for this Fourth Information Disclosure Statement.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

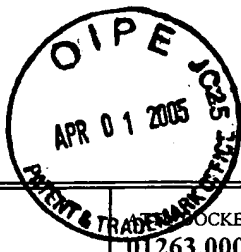
Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,



Attorney for Applicants  
Douglas W. Pinsky  
Registration No. 46,994

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200  
DWP/tmc



FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

November 17, 2004

PATENT & TRADEMARK OFFICE  
SOCKET NO.

01263.000412.1

APPLICATION NO.

09/359,912

APPLICANT

ELI TZIRKEL-HANCOCK ET AL.

FILING DATE

July 26, 1999

GROUP

2654

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
EP 0 573 301	12/1993	EPO			In English

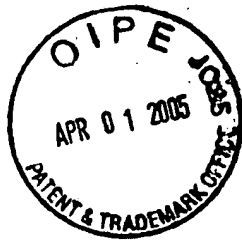
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicants.

Sheet 1 of 1

JWP/tmc



Commissioner for Patents  
Washington, D.C. 20231

Date 5.22.02  
Mo. Day Yr.

Atty. Docket 0263.000421

Application No. 09/359,912

Sir:

Kindly acknowledge receipt of the accompanying:

- ☐ Response to Official Action. \_\_\_\_\_
- ☐ Check for \$ \_\_\_\_\_ (claims fee)
- ☐ Petition under 37 CFR 1.136 and Check for \$ \_\_\_\_\_
- ☐ Notice of Appeal and Check for \$ \_\_\_\_\_
- ☒ Information Disclosure Statement, PTO-1449 and 2 \_\_\_\_\_
- ☐ Claim for priority and certified copies of \_\_\_\_\_ priority applications
- ☐ Issue fee transmittal and Check for \$ \_\_\_\_\_
- ☒ Other (specify) Search Report

by placing your receiving date stamp hereon and returning to deliverer.

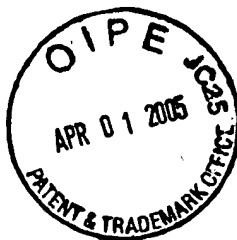
Atty. Gorsley

Due Date N/D/D  
Mo. Day Yr.

FCIS-D-00



01263.000412.1



APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: R. Dorvil
ELI TZIRKEL-HANCOCK, ET AL.	)	
	:	Group Art Unit: 2641
	)	
Application No.: 09/359,912	:	RCE Filed: February 4, 2002
	)	
Filed: July 26, 1999	:	
	)	
For: APPARATUS, METHOD AND	:	May 22, 2002
COMPUTER READABLE	)	
MEMORY MEDIUM FOR	:	
SPEECH RECOGNITION USING	)	
DYNAMIC PROGRAMMING	:	

Commissioner for Patents  
Washington, D.C. 20231

*7/11/99*

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed. These documents were recently cited in the attached Search Report issued April 22, 2002. Copies of the listed documents are enclosed. U.S. Patent Nos. 5,218,668 and 4,910,783 and European Patent Nos. 417854 and 691640 are not being submitted, because they were previously cited in an Information Disclosure Statement of July 26, 1999.





STATEMENT UNDER 37 C.F.R. § 1.97(e)

No item of information contained in this information disclosure statement was either cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual having a duty to disclose under 37 C.F.R. § 1.56 (c) more than three months prior to the filing date of this Statement.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

---

Attorney for Applicants  
Registration No. 28,861

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. <b>01263.000412.1</b>		APPLICATION NO. <b>09/359,912</b>	
May 22, 2002			APPLICANT <b>ELI TZIRKEL-HANCOCK, ET AL.</b>			
FILING DATE <b>July 26, 1999</b>			GROUP <b>2641</b>			

O I P E  
 APR 01 2005  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	<b>4,514,593</b>	<b>4/30/85</b>	<b>Hattori, et a.</b>	<b>179</b>	<b>2</b>		

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
	<b>"Method for Automatic Directory Update Upon Encounter of Specific Callee Messages", IBM          Technical Disclosure Bulletin, Vol. 37, No. 1, 1 January 1994, pp. 391-392</b>	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1